



#3 SEC 902
Docket No.: O3020.0218/P0218
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Masato Yoshida, et al.

Application No.: 09/777,886

Group Art Unit: 2161

Filed: February 7, 2001

Examiner: Not Yet Assigned

For: A TRANSACTION MEDIATION SYSTEM
AND A PRINTING MEDIATION SYSTEM
WITH USE OF THE FORMER SYSTEM
AND A METHOD FOR ESTABLISHING
TRANSACTION MEDIATION NETWORK

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date
Granted mailed March 14, 2001, Applicant respectfully submits a Declaration, an
Assignment, a Petition for Two-months Extension of Time, and Substitute Drawings.

Our check in the amount of \$280.00 to cover the \$130.00 fee set forth in 37
CFR 1.16(e), the \$40.00 fee as set forth in 37 CFR 1.21(h), and the \$110.00 fee as set
forth in 37 CFR 1.17(a)(1).



The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1073, under Order No. O3020.0218/P0218. A duplicate copy of this paper is enclosed.

Dated: June 14, 2001

Respectfully submitted

By

A handwritten signature in black ink, appearing to read 'TJ D'Amico', written over a horizontal line.

Thomas J. D'Amico

Registration No.: 28,371

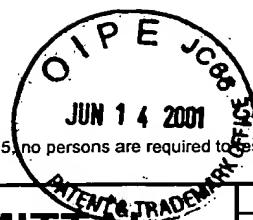
DICKSTEIN SHAPIRO MORIN &
OSHINSKY LLP

2101 L Street NW

Washington, DC 20037-1526

(202) 828-2232

Attorneys for Applicant



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

| FEE TRANSMITTAL for FY 2001 | | Complete if Known | |
|---|--|--|------------------|
| Patent fees are subject to annual revision. | | Application Number | 09/777,886 |
| | | Filing Date | February 7, 2001 |
| | | First Named Inventor | Masato Yoshida |
| | | Examiner Name | Not Yet Assigned |
| | | Group Art Unit | 2161 |
| | | Attorney Docket No. | O3020.0218/P0218 |
| TOTAL AMOUNT OF PAYMENT (\$) | | 280.00 | |
| METHOD OF PAYMENT | | FEE CALCULATION (continued) | |
| 1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 04-1073 Deposit Account Name: <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 | | 3. ADDITIONAL FEES | |
| 2. <input checked="" type="checkbox"/> Payment Enclosed <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other | | Large Entity Fee Code Fee (\$) | |
| FEE CALCULATION | | Small Entity Fee Code Fee (\$) | |
| 1. BASIC FILING FEE | | Fee Description | |
| Large Entity Fee Code Fee (\$) | | Fee Paid | |
| Small Entity Fee Code Fee (\$) | | | |
| Fee Description | | | |
| 101 710 201 355 Utility filing fee | | 105 130 205 65 Surcharge - late filing fee or oath 130.00 | |
| 106 320 206 160 Design filing fee | | 127 50 227 25 Surcharge - late provisional filing fee or cover sheet. | |
| 107 490 207 245 Plant filing fee | | 139 130 139 130 Non-English specification | |
| 108 710 208 355 Reissue filing fee | | 147 2,520 147 2,520 For filing a request for <i>ex parte</i> reexamination | |
| 114 150 214 75 Provisional filing fee | | 112 920* 112 920* Requesting publication of SIR prior to Examiner action | |
| SUBTOTAL (1) (\$) | | 113 1,840* 113 1,840* Requesting publication of SIR after Examiner action | |
| 2. EXTRA CLAIM FEES | | 115 110 215 55 Extension for reply within first month 110.00 | |
| Total Claims ** = <input type="checkbox"/> X <input type="checkbox"/> = <input type="checkbox"/> | | 116 390 216 195 Extension for reply within second month | |
| Independent Claims ** = <input type="checkbox"/> X <input type="checkbox"/> = <input type="checkbox"/> | | 117 890 217 445 Extension for reply within third month | |
| Multiple Dependent <input type="checkbox"/> = <input type="checkbox"/> | | 118 1,390 218 695 Extension for reply within fourth month | |
| Large Entity Fee Code Fee (\$) | | 128 1,890 228 945 Extension for reply within fifth month | |
| Small Entity Fee Code Fee (\$) | | 119 310 219 155 Notice of Appeal | |
| Fee Description | | 120 310 220 155 Filing a brief in support of an appeal | |
| 103 18 203 9 Claims in excess of 20 | | 121 270 221 135 Request for oral hearing | |
| 102 80 202 40 Independent claims in excess of 3 | | 138 1,510 138 1,510 Petition to institute a public use proceeding | |
| 104 270 204 135 Multiple dependent claim, if not paid | | 140 110 240 55 Petition to revive - unavoidable | |
| 109 80 209 40 ** Reissue independent claims over original patent | | 141 1,240 241 620 Petition to revive - unintentional | |
| 110 18 210 9 ** Reissue claims in excess of 20 and over original patent | | 142 1,240 242 620 Utility issue fee (or reissue) | |
| SUBTOTAL (2) (\$) | | 143 440 243 220 Design issue fee | |
| **or number previously paid, if greater; For Reissues, see above | | 144 600 244 300 Plant issue fee | |
| | | 122 130 122 130 Petitions to the Commissioner | |
| | | 123 50 123 50 Processing fee under 37 CFR 1.17(q) | |
| | | 126 180 126 180 Submission of Information Disclosure Stmt | |
| | | 581 40 581 40 Recording each patent assignment per property (times number of properties) 40.00 | |
| | | 146 710 246 355 Filing a submission after final rejection (37 CFR 1.129(a)) | |
| | | 149 710 249 355 For each additional invention to be examined (37CFR 1.129(b)) | |
| | | 179 710 279 355 Request for Continued Examination (RCE) | |
| | | 169 900 169 900 Request for expedited examination of a design application | |
| | | Other fee (specify) | |
| | | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) | |
| | | 280.00 | |
| SUBMITTED BY | | Complete (if applicable) | |
| Name (print/type) Thomas J. D'Amico | | Registration No. (Attorney/Agent) 28,371 | |
| Signature | | Telephone (202) 828-2232 | |
| | | Date June 14, 2001 | |

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

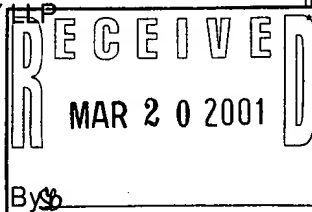
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/777,886 | 02/07/2001 | Masato Yoshida | O3020.0218/P0218 |

CONFIRMATION NO. 4209

FORMALITIES LETTER

Thomas J. D'Amico
DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP
2101 L Street NW
Washington, DC 20037-1526



OC000000005861658*

Date Mailed: 03/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/15/2001 TGEDAMU1 00000002 09777886

02 FC:105

130.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

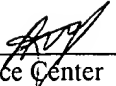
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE